

1. EXISTING CLIENT CODE		Date	D	D	M	M	Y	Y	Y	Y	
PRODUCT NAME											

2. EXISTING CLIENT DETAILS

NAME OF SOLE / FIRST ACCOUNT HOLDER

Mr. / Ms. / M/s.

NAME OF SECOND ACCOUNT HOLDER

Mr. / Ms. / M/s.

NAME OF THIRD ACCOUNT HOLDER

Mr. / Ms. / M/s.

3. ADDITIONAL INVESTMENT REQUEST

I / We wish to invest an additional amount of funds and / or securities valued at Rs. _____ in the existing PMS schemes as per above PMS Client Code vide cheque/DD No. / RTGS _____ dated _____ drawn on _____.

I/We hereby declare that the funds and /or securities given/to be given by me/us to the Portfolio Manager for investing on my/our behalf is derived through legitimate source and is not held or designed for the purpose of contravention of any act, rules, regulations or any statute or legislation including prevention of Money Laundering Act, 2002 or any other applicable laws or any notifications, directions issued by any governmental or statutory authority from time to time. I/We hereby request you to treat the proceeds of the Demand Drafts/RTGS Banker's Cheque/Third Party Cheque or Funds/securities transfer, If any, as proceeds from my/our behalf. I/We agree to indemnify the Portfolio Manager in the event of any claim/loss by the Portfolio manager due to this.

INSTRUCTIONS :

1. Cheque / DD photocopy / Transfer letter should be attached for all fresh / additional investments. If the fresh / additional investments does not meet the minimum investment criteria for the PMS schemes / PMS Client Code, the investments may not be initiated. In case of securities transfer, intimation of the same along with the list of securities as per annexure format below must be submitted atleast 2 days prior to the date of execution and a photocopy of the securities transfer instructions (duly acknowledged by DP) should be attached. Investments would be initiated after receipt of clear fund and / or securities and the required documents.
2. For multiple scrips, please attach annexure / list duly signed.
3. In case of joint holders, all the holder are required to sign as per the mode of operations. In case of a non-individual, the authorized person is required to sign along with the stamp of the entity.

Annexure for Securities Transfer

Name : _____ DP Name : _____ DP ID : _____ Client ID : _____

Sr. No.	Security ISIN No.	Security Name	Quantity
1.			
2.			
3.			
4.			
5.			

4. REDEMPTION REQUEST

Partial Redemption (Please fill in details Section A) Full Redemption (Default)*

A. REDEMPTION AMOUNT (Please fill in the amount of redemption - To be Filled in case of Partial Redemption only)

Amount in Figures

Amount in Words

B. REDEMPTION PAYOUT OPTION (Please select any one)

Fund Transfer (Fund transfer will be into default bank as per AMC records)** Stock Transfer (Please fill in details in section C below)***

** By default fund transfer will be done into your bank account mentioned in the AMC records at the time of redemption request submission. In case payout is expected to be done in some other bank, kindly submit the Client Mandate Change Request form and first get the bank details modified.

In bank modification cases, redemption request should be submitted post confirmation is received on bank modification in AMC records.

*** Stock redemption will be done subject to availability of stocks on the date of redemption.

Pending stocks will be redeemed on receipt of payout from the exchange.

In case of Stock Transfer, any shortfall of cash for payment of fees and expenses payable to the Portfolio Manager shall be recovered after selling Stocks to the extent of the amount of shortfall. (Default)

In case none of the Payout option checked, it will be considered as Fund Transfer by default.

